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Washington, D.C. 20231 on Sept 20, 2004

TOWNSEND and TOWNSEND and CREW LLP

By

Mark T. Davis

CofC

PATENT

Attorney Docket No.: 023070-087910US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Larry M. Rose et al.

Patent No.: 6,783,936 B2

Issued: August 31, 2004

For: ANTIGENIC EPITOPES WITH  
LYM-1 REACTIVITY AND USES  
THEREOF

Examiner: Sheela J. Huff

Art Unit: 1642

REQUEST FOR CERTIFICATE OF  
CORRECTION UNDER §1.323

Certificate of Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Certificate  
SEP 30 2004  
of Correction**

Sir:

Pursuant to 37 CFR §1.323 Applicant submits a Certificate of Correction correcting a minor error by Applicant. Specifically, the work underlying the invention was supported by a Government grant. The desired correction acknowledges the Government support for the invention and is set forth on form PTO 1050, enclosed.

Please deduct the fee, pursuant to 37 CFR §1.20(a), of \$100.00 from deposit account 20-1430 and any additional fees associated with this Certificate. This Request is submitted in triplicate.

09/27/2004 GWORDF1 00000080 201430 6783936

01 FC:1811 100.00 DA

Respectfully submitted,

Laurence J. Hyman  
Reg. No. 35,551

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel. (415) 576-0200  
Fax (415) 576-0300

60312022 v1

SEP 30 2004

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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : US 6,783,936 B2  
DATED : August 31, 2004  
INVENTOR(S) : Larry M. Rose et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In the specification, column 1, beginning at line 4, insert

--This invention was made with Government support under Grant No. CA47829, awarded by the National Institutes of Health. The Government has certain rights in this invention.--

MAILING ADDRESS OF SENDER: Laurence J. Hyman, Reg. No. 35,551  
Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834

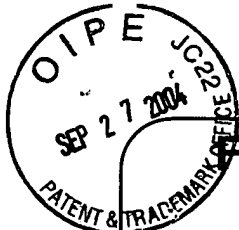
PATENT NO. 6,783,936 B2

No. of additional copies:



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60312044 v1

SEP 30 2004

**FREE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 100

**Complete if Known**

Application Number	09/832,510
Filing Date	April 10, 2001
First Named Inventor	Rose, Larry
Examiner Name	Huff, Sheela Jitendra
Art Unit	1642
Attorney Docket No.	023070-087910US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ )

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims		** =		X		
Multiple Dependent		** =		X		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
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1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
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SUBTOTAL (2)

(\$ )

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
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Other fee (specify) Request for Certificate of Correction

100

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

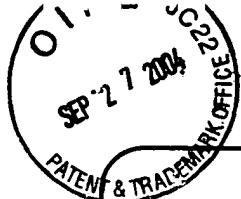
(\$ )100

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Laurence J. Hyman	Registration No. (Attorney/Agent)	35,551	Telephone	415-576-0200
Signature				Date	September 17, 2004

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PTO/SB/21 (04-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/832,510	
	Filing Date	April 10, 2001	
	First Named Inventor	Rose, Larry	
	Art Unit	1642	
	Examiner Name	Huff, Sheela Jitendra	
Total Number of Pages in This Submission	4	Attorney Docket Number	023070-087910US

**ENCLOSURES (Check all that apply)**

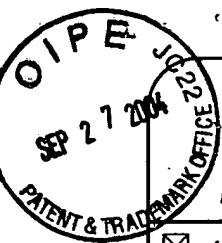
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard; Request for Certificate of Correction; PTO-1050
<b>Remarks</b> The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP Laurence J. Hyman	Reg. No. 35,551
Signature		
Date	September (17), 2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name	Mark T. Davis		
Signature		Date	September (20), 2004



# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100

## Complete if Known

Application Number 09/832,510  
 Filing Date April 10, 2001  
 First Named Inventor Rose, Larry  
 Examiner Name Huff, Sheela Jitendra  
 Art Unit 1642  
 Attorney Docket No. 023070-087910US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

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SUBTOTAL (1)

(\$)

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		Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	..**	=	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	..**	=	<input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent					X <input type="text"/>	= <input type="text"/>

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## FEE CALCULATION (continued)

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Other fee (specify) Request for Certificate of Correction

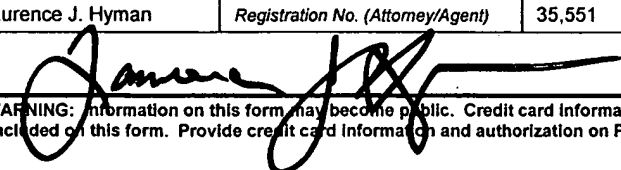
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\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)100

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Laurence J. Hyman Registration No. (Attorney/Agent) 35,551 Telephone 415-576-0200  
 Signature  Date September (17), 2004

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PTO/SB/21 (04-04)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/832,510	
	Filing Date	April 10, 2001	
	First Named Inventor	Rose, Larry	
	Art Unit	1642	
	Examiner Name	Huff, Sheela Jitendra	
Total Number of Pages in This Submission	4	Attorney Docket Number	023070-087910US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Postcard; Request for Certificate of Correction; PTO-1050
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Townsend and Townsend and Crew LLP	
	Laurence J. Hyman	Reg. No. 35,551
Signature		
Date	September (17), 2004	

CERTIFICATE OF TRANSMISSION/MAILING			
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Typed or printed name	Mark T. Davis		
Signature		Date	September (20), 2004

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DATED : August 31, 2004

INVENTOR(S) : Larry M. Rose et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

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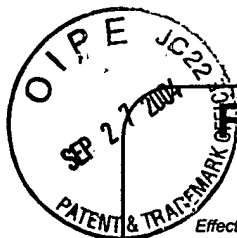
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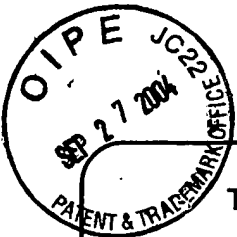
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**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard; Request for Certificate of Correction; PTO-1050
<b>Remarks</b> The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

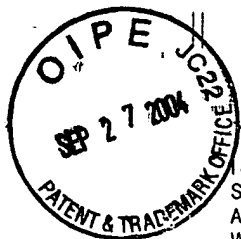
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Townsend and Townsend and Crew LLP
Signature	Laurence J. Hyman Reg. No. 35,551
Date	September (17), 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
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PATENT  
Attorney Docket No.: 023070-087910US

TOWNSEND and TOWNSEND and CREW LLP

By

Mark T. Davis

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Larry M. Rose et al.

Patent No.: 6,783,936 B2

Issued: August 31, 2004

For: ANTIGENIC EPITOPES WITH  
LYM-1 REACTIVITY AND USES  
THEREOF

Examiner: Sheela J. Huff

Art Unit: 1642

REQUEST FOR CERTIFICATE OF  
CORRECTION UNDER §1.323

Certificate of Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.323 Applicant submits a Certificate of Correction correcting a minor error by Applicant. Specifically, the work underlying the invention was supported by a Government grant. The desired correction acknowledges the Government support for the invention and is set forth on form PTO 1050, enclosed.

Please deduct the fee, pursuant to 37 CFR §1.20(a), of \$100.00 from deposit account 20-1430 and any additional fees associated with this Certificate. This Request is submitted in triplicate.

Respectfully submitted,

Laurence J. Hyman  
Reg. No. 35,551

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : US 6,783,936 B2

DATED : August 31, 2004

INVENTOR(S) : Larry M. Rose et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In the specification, column 1, beginning at line 4, insert

--This invention was made with Government support under Grant No. CA47829, awarded by the National Institutes of Health. The Government has certain rights in this invention.--

MAILING ADDRESS OF SENDER: Laurence J. Hyman, Reg. No. 35,551  
Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834

PATENT NO. 6,783,936 B2

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